

# **EXHIBIT 4**



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**VIA EMAIL**

November 10, 2021

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**Re: *In re: PG&E Corporation, and Pacific Gas and Electric Company,*  
Case No. 19-30088 (DM)**

Dear Counsel:

Please be advised that this firm ("RKS") represents those claimants listed in Exhibit A attached hereto with respect to claims against PG&E Corporation and/or Pacific Gas and Electric Company ("Debtors" or "PG&E") arising out of their acquisition of PG&E securities from April 29, 2015 through November 15, 2018, inclusive (the "Securities Claims"). We represent each claimant and its respective affiliates on an individual basis, and each claimant has independently retained us as counsel to pursue their individual Securities Claims. Based upon our calculations, the claimants set forth in Exhibit A assert in the aggregate damages substantially in excess of \$1 billion against Debtors.

Please direct each of, or any of, these communications relating or pertaining to the claims of the claimants identified in Exhibit A to the attorneys undersigned and copied below.

Sincerely,

Marc B. Kramer

Debtors' Counsel  
November 10, 2021

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cc: Lawrence M. Rolnick  
Sheila A. Sadighi  
Michael J. Hampson  
Richard A. Bodnar  
Michael St. James

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**Exhibit A<sup>1</sup>**

<b>Claim</b>	<b>Creditor Name</b>
101052	2013-10 US Corporate Bond Fund, a Series Trust of Global Cayman Investment Trust-CORPPI
107230	683 Capital Partners L.P.
107885	Advanced Series Trust: AST AllianceBernstein Core Value Portfolio (12/31):
106359	Allan Gray Australia Balanced Fund
107239	Alyeska Master Fund, LP
100824	Annuity Plan of the Electrical Industry
101683	Annuity Plan of the Electrical Industry
101124	Annuity Plan of the Electrical Industry - JIBINT2
107896	AST ADVANCED STRAT TROWE PD13
107895	AST AQR LARGE CAP PORTFOLIO
107891	AST BLACKROCK LOW DUR BND PORT
107892	AST BLRK GLBL STRAT LARGE CAP
107897	AST BOND PORTFOLIO 2025
107906	AST BOND PORTFOLIO 2026
107887	AST BR GLOBAL THEMATIC EQUITY
107894	AST FI PYR QUAN AS ALL-VALUE
107904	AST FI PYRAMIS QA (GLB EQUITY)
107900	AST FI PYRAMIS QA (LARGE CAP)
107899	AST FI PYRAMIS QA (LONG DUR)
107898	AST GOLDMAN SACHS MA PORT-EQTY
107901	AST GOLDMAN SACHS MSP QIS GLB
107902	AST INVEST. GRADE BD PORT
107962	AST JENNISON GLOBAL INFRASTR PDMN
107886	AST JPM STRAT OPP(CAPITAL PRE)
107961	AST JPM THEMATIC (GLOBAL ALL)

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<sup>1</sup> This exhibit represents a list of each claimant that RKS currently represents on an individual basis. We reserve all rights to modify, amend, or supplement this list.

<b>Claim</b>	<b>Creditor Name</b>
107890	AST LORD ABBETT FIXED INC PORT
107893	AST MORGAN STANLEY MULTI-ASSET
107889	AST ND PORT-(SGI/RYDEX)
107903	AST NEUBERGER BERMAN LONG/SHOR
107888	AST WEDGE CAPITAL MID-CAP VAL
107907	AST WELLINGTON MGMT REAL T.R.
103017	Cobb, Robert H.
103127	Cobb, Rosemary
101051	Core Plus Bond Fund of the Prudential Trust Company Institutional Business Trust - Institutional Bus
101327	Deferred Salary Fund of the Electrical Industry - JIBINT
99824	Deferred Salary Plan of the Electrical Industry
100224	Deferred Salary Plan of the Electrical Industry
100387	Deferred Salary Plan of the Electrical Industry
101685	Deferred Salary Plan of the Electrical Industry
101709	Deferred Salary Plan of the Electrical Industry
104332	Delbert E Snoberger IRA
101026	Dryden Arizona Reinsurance Term Company - Tax Liabilities Account-DARTTAX
107958	Dryden Short-Term Bond Fund, Inc.: Dryden Short-Term Corporate Bond Fund (12/31):
100193	Electrical Employers Self Insurance Safety Plan
99659	Employees Security Fund of the Electrical Products Industries Health & Welfare
100936	Gibraltar Universal Life Reinsurance Co PLAZ Trust 1-GULREAZTR1
100885	Gibraltar Universal Life Reinsurance Co. AG 48 Trust-GULRETR1
100902	Gibraltar Universal Life Reinsurance Co. RBC Trust-GULRESN
107237	Hexavest ACWI Equity Fund
107238	Hexavest US Fund
107240	Hexavest World Equity Fund

<b>Claim</b>	<b>Creditor Name</b>
100967	Horizon Healthcare of New Jersey, Inc. - HBC2
100969	Horizon Healthcare Services, Inc. - HBC
101273	Institutional Long Duration Gov/Credit Conservative Bond Fd of the Prudential Trust Co. Master Commi
98185	Janus Capital Managment LLC
107959	Jennison 20/20 Focus Fund (1/31):
107965	Jennison Blend Fund, Inc. (8/31):
107956	JennisonDryden Opportunity Funds: Dryden Strategic Value Fund (2/28):
107970	JennisonDryden Portfolios, Inc.: Jennison Value Fund (8/31):
107966	JennisonDryden Sector Funds, Inc.: Jennison Utility Fund (11/30):
98923	Kingstown Partners II L.P.
98956	Kingstown Partners II LP
98961	Kingstown Partners Master Ltd.
98982	Kingstown Partners Master Ltd.
104667	Lake Avenue Funding EC IV LLC
104659	Lake Avenue Funding EC IX LLC
104663	Lake Avenue Funding EC IX LLC
104670	Lake Avenue Funding EC VII LLC
104671	Lake Avenue Funding EC VII LLC
104672	Lake Avenue Funding EC VII LLC
104677	Lake Avenue Funding EC VII LLC
104683	Lake Avenue Funding EC VII LLC
104686	Lake Avenue Funding EC VII LLC
104688	Lake Avenue Funding EC VII LLC
104660	Lake Avenue Funding EC VII LLC
104673	Lake Avenue Funding EC VII LLC
104680	Lake Avenue Funding EC VIII LLC
104749	Lake Avenue Funding EC XI LLC
104676	Lake Avenue Funding EC XI LLC

<b>Claim</b>	<b>Creditor Name</b>
100084	Legal Services Plan of the Electrical Industry
101123	Lucent Technologies Inc. Master Pension Trust- PORTL
107242	Massachusetts S&P 500 Pooled Index Fund
100550	OnePath Funds Management Limited
100568	OnePath Funds Management Limited
106198	Orbis Capital Limited
106202	Orbis Global Balanced Fund (Australia Registered)
106201	Orbis Global Equity Fund (Australia Registered)
106200	Orbis Global Equity Fund LE (Australia Registered)
106197	Orbis Global Equity Fund Limited
106193	Orbis Institutional Global Equity (OFO) Fund
106192	Orbis Institutional Global Equity Fund
106220	Orbis Institutional Global Equity L.P.
106195	Orbis Institutional U.S. Equity L.P.
106221	Orbis OEIC Global Balanced Fund
106204	Orbis OEIC Global Balanced Fund
106194	Orbis OEIC Global Equity Fund
106222	Orbis Optimal Global Fund LP
106358	Orbis Optimal SA Fund Limited
106196	Orbis SICAV Global Balanced Fund
106203	Orbis SICAV Global Equity Fund
107909	PGIM Core Conservative Bond Fund
107908	PGIM Corporate Bond Fund
101423	PGIM Funds Plc - PGIM Global Corporate Bond Fund - UGBLESG
101587	PGIM Funds Plc - PGIM Intermediate Duration US Corporate Bond Fund - UCINTESG
101426	PGIM Funds Plc - PGIM US Corporate Bond Fund - UCORPESG
107905	PGIM Global Absolute Return Bond Fund
100869	PGIM Global Core Bond Fund Ex-Japan, a sub-trust of PGIM Cayman Unit Trust-CYTGCORE

<b>Claim</b>	<b>Creditor Name</b>
107910	PGIM GLOBAL TOTAL RETURN
100614	PGIM Japan Co., Ltd - PR US Investment Grade Corporate Bond Mother Fund-CORPPIM
107968	PGIM Jennison Equity Income Fund
107963	PGIM Jennison Rising Dividend Fund
107913	PGIM QMA Defensive Equity Fund
107916	PGIM QMA US Broad Market Index Fund
101268	PGIM Qualifying Investor Funds plc - PGIM QIF Global Corporate Bond Fund - QIFGBLCORP
101352	PGIM Qualifying Investor Funds plc -PGIM QIF US Corporate Bond Fund - QIFCORP
107912	PGIM TIPS Fund
107914	PGIM US Real Estate Fund
107040	PHYLLIS S PRANGE TRUST
100919	POC for Gibraltar Universal Life Reinsurance Co. Custody (Investment)-GULRE
100945	PRU Credit Income Fund 2017, a Series Trust of Multi Manager Global Investment Trust-CORPMATPB7
101064	PRU Gibraltar Financial Insurance Company-PGFFC1
107971	PRU INCOME BUILDER JEN EQ INC PDKD
101239	Pruco Life Insurance Company of New Jersey - PLNJ
101149	Prudential Arizona Reinsurance Captive Company - PLAZ Trust 1-PLAZTR1
101112	Prudential Arizona Reinsurance Captive Company - PLNJ Reg 114 Trust 1-PLNJTR1
101125	Prudential Arizona Reinsurance Captive Company- Custody-PARCC
101060	Prudential Arizona Reinsurance Term Company- PAR Term Ind Life Custody Inv Seg-PARTCUST
101096	Prudential Arizona Reinsurance Term Company- PART Ind Life PLNJ Trust-Fixed Income-PARTNJ1
100926	Prudential Arizona Reinsurance Universal Company - PARU HarfordLife & Annuity Comfort Trust - HARTPA



<b>Claim</b>	<b>Creditor Name</b>
101106	Prudential Arizona Reinsurance Universal Company - PLNJ Trust 1-PARUNJTR1
100962	Prudential Arizona Reinsurance Universal Company -Non-Trust-Hrt Life-Inv Seg-HARTPARFDG
100934	Prudential Arizona Reinsurance Universal Company -PAR U Hartford Life Insurance Comfort Trust - HART
101057	Prudential Arizona Reinsurance Universal Company-PARU
107969	Prudential Day One 2045 Fund
100987	Prudential Financial Inc. - Hirikata LLC - HIRAKATA
100658	Prudential Investment Management Japan Co., Ltd. - US Investment Grade Corporate Bond Fund 2016-CORP
101097	Prudential Legacy Insurance Company of New Jersey-PLIC Securities Lending Portfolio-PIACLCB
101109	Prudential Legacy Insurance Company of NJ - Core Public Bonds-PLIC
101446	Prudential Legacy Insurance Company of NJ - General Account Global Corporate Portfolio - WWCORP
101132	Prudential Life Insurance Company of Taiwan Inc. - Pru Taiwan TW GA-POTTWGA
101248	Prudential Life Insurance Company of Taiwan Inc. - Pru Taiwan USD GA-POTUSGA
101211	Prudential Life Insurance Company of Taiwan Inc. - Pru Taiwan USD IS-POTUSBY
101228	Prudential Long Duration Credit Fund of the Prudential Trust Company Collective Trust-PTLCRED
101194	Prudential Merged Retirement Plan - Long Duration Account-PPTRAD
101197	Prudential Retirement Insurance & Annuity Company-Corporate-PRIACCORP
101200	Prudential Retirement Insurance & Annuity Company-Defined Benefits Non Trust-PRIACDBNT
101203	Prudential Retirement Insurance & Annuity Company-MMIP-Non Trust-PRIACMMNT
101267	Prudential Retirement Insurance and Annuity Company - New York Carpenters - SACAR

<b>Claim</b>	<b>Creditor Name</b>
101246	Prudential Retirement Insurance and Annuity Company- Def Contributions - Non Trust A-PRIACDCNT
101291	Prudential Term Reinsurance Company - Custody - TERMCUST
101353	Prudential Term Reinsurance Company - Economic Reserves Portfolio - TERMPLNJ1
101326	Prudential Term Reinsurance Company - PLNJ Trust - TERMPLNJ
101025	Prudential Trust Company - Institutional Business Trust Core Conservative Bond Fund - IBTCC
101224	Prudential Trust Company - Prudential Core Intermediate Bond Fund of the Collective Trust-PTINT
101220	Prudential Trust Company Collective Trust - Prudential Core Plus Bond Fund-PTCRPLUS
101212	Prudential Trust Company Collective Trust - Prudential U.S. Corporate Bond Fund-PTCORP
101218	Prudential Trust Company Collective Trust- Prudential Core Conservative Intermediate Bond Fund-PTICC
101230	Prudential Trust Company Collective Trust- Prudential Long Duration Government/Credit Bond Fund -
101231	Prudential Trust Company Collective Trust- Prudential U.S. Long Duration Corporate Bond Fund - PTLON
100784	Prudential Trust Company Collective Trust-Pru Core Conservative Intermediate(Vanguard) Bond Fund-FLA
101058	Prudential Trust Company Collective Trust-Prudential Core Bond Fund - INSTCFI
101232	Prudential Trust Company Collective Trust-Prudential U.S. Long Duration Corporate Bond (JPM) Fund-PT
101054	Prudential Trust Company CollectiveTrust- Prudential Core Conservative Bond Fund - INSTEI
101053	Prudential Trust Company -Institutional Business Trust-Long Duration Government/Credit
100984	Prudential Trust Company Institutional Business Trust-PGIM Core Bond Fund - IBTCORE
101122	Prudential Trust Company Master Commingled Investment Fund for Tax Exempt Trusts, Institutional Core

<b>Claim</b>	<b>Creditor Name</b>
101243	Prudential Universal Reinsurance Company - Captive Trust Portfolio 1 - PURCTR1
101356	Prudential Universal Reinsurance Company - Captive Trust Portfolio 2 - PURCSN
101255	Prudential Universal Reinsurance Company - Inv Seg Individual Life - PURC
101335	Prudential Universal Reinsurance Company- Prudential Term Reinsurance Company - Captive Trust Portfo
107038	Ramon L Prange IRA
107039	Ramon L Prange IRA
107244	RhumbLine Equal Weighted Large Cap Trust
107241	RhumbLine Russell 1000 Pooled Index Trust
107243	RhumbLine Russell 1000 Value Index Pooled Trust
107245	RhumbLine S&P Index Pooled Trust
102178	SCHONFELD STRATEGIC ADVISORS
104331	Singer, Susan
102247	SouthPoint Capital
99749	SunAmerica
99826	SunAmerica
102110	SunAmerica Asset Management
102441	SunAmerica Asset Management
100937	The Gibraltar Life Insurance Company, Ltd. – USD Annuity AFS4-GIBAFS4
100909	The Gibraltar Life Insurance Company, Ltd. – USD Insurance AFS-GIBAFS1
101049	The Gibraltar Life Insurance Company, Ltd. – USD Insurance HFR-GIBHFR1
100865	The Gibraltar Life Insurance Company, Ltd. US Annuity- HFR4-GIBHFR4
100933	The Government of State of Kuwait Acting Through Kuwait Investment Authority – GSQ Global Agg
101095	The Prudential Insurance Company of America – General Pension Segment A-GPSA

<b>Claim</b>	<b>Creditor Name</b>
100834	The Prudential Insurance Company of America – Group Alliance Core Public Bonds-GRPALL
100876	The Prudential Insurance Company of America – Guaranteed Separate Account MMIP Fund-GSAGEN
100912	The Prudential Insurance Company of America – Hartford Life & Annuity Comfort Trust-HARTANN
101008	The Prudential Insurance Company of America – Individual Life Long Term Core Public Bonds – ILLONG
101055	The Prudential Insurance Company of America – International Paper PICA Single Client Buy-Out SAGAR
101013	The Prudential Insurance Company of America – International Reinsurance Gibraltar – IREGIB
101062	The Prudential Insurance Company of America – JC Penny Buy Out – Public Bonds – JCPSA
101083	The Prudential Insurance Company of America – Lending General Collateral Financial Services Business
101586	The Prudential Insurance Company of America – Long Duration Government/Credit Bond Account – TOLILGC
101067	The Prudential Insurance Company of America – PICA/POJ IRELP Trust – IRELPTR
101202	The Prudential Insurance Company of America – Portfolio Protected Product Group Annuity-PPBO
100941	The Prudential Insurance Company of America- Congo PICA Single Client Buy-Out SA-GAR PRD-CONGA
101584	The Prudential Insurance Company of America- Core Investment Grade Credit Bond Fund – TOLICRED
101050	The Prudential Insurance Company of America- Hartford PICA Single Client Buy-Out SA-GAR PRD -
101322	The Prudential Insurance Company of America- Long Duration Corporate Bond Account- TOLILDC
101266	The Prudential Insurance Company of America on behalf of VCA-GA-8217-SBA – SBA8217
101354	The Prudential Insurance Company of America on behalf of VCA-GI-3-20 (TOLI 20) – TOLI20

<b>Claim</b>	<b>Creditor Name</b>
101431	The Prudential Insurance Company of America on behalf Variable Contract Account GA-8217-EBIA-WEBIA
101321	The Prudential Insurance Company of America- Strategic Bond Account – SBA
101422	The Prudential Insurance Company of America- TOLI Protective Life Fixed Income Bond Fund -
101588	The Prudential Insurance Company of America- WCT 82/84 ANNUITY ACCOUNT – WCT8284
101430	The Prudential Insurance Company of America- Westrock PICA Single Client Buy-Out SA-GAR PRD -WRKSA
107960	The Prudential Investment Portfolios, Inc.: Jennison Equity Opportunity Fund (9/30):
101046	The Prudential Life Insurance Company, Ltd – GA USD BOND AFS (OFU1) – JPOJUAFS1
101209	The Prudential Merged Retirement Plan – Pru Plan Asset Liability Portfolio-PPALP
101229	The Prudential Merged Retirement Plan – Pruplan Asset & Liability Portfolio 2-PPALP2
107964	The Prudential Series Fund: Jennison 20/20 Focus Portfolio (12/31):
107957	The Prudential Series Fund: Stock Index Portfolio (12/31):
107967	The Prudential Series Fund: Value Portfolio (12/31):
107251	Thrivent Financial Defined Benefit Plan Trust
107247	Thrivent Financial for Lutherans
107246	Thrivent Financial for Lutherans Foundation
107252	Thrivent Mutual Funds – Thrivent Aggressive Allocation Fund
107254	Thrivent Mutual Funds – Thrivent Balanced Income Plus Fund
107248	Thrivent Mutual Funds – Thrivent Diversified Income Plus Fund
107253	Thrivent Mutual Funds – Thrivent Global Stock Fund
107255	Thrivent Mutual Funds – Thrivent Growth & Income Plus Fund
107286	Thrivent Mutual Funds – Thrivent Income Fund

<b>Claim</b>	<b>Creditor Name</b>
107256	Thrivent Mutual Funds – Thrivent Large Cap Value Fund
107257	Thrivent Mutual Funds – Thrivent Mid Cap Stock Fund
107258	Thrivent Mutual Funds – Thrivent Moderate Allocation Fund
107249	Thrivent Mutual Funds – Thrivent Moderately Aggressive Allocation Fund
107358	Thrivent Mutual Funds – Thrivent Moderately Conservative Allocation Fund
107250	Thrivent Series Fund, Inc. – Thrivent Aggressive Allocation Portfolio
107259	Thrivent Series Fund, Inc. – Thrivent Balanced Income Plus Portfolio
107260	Thrivent Series Fund, Inc. – Thrivent Diversified Income Plus Portfolio
107283	Thrivent Series Fund, Inc. – Thrivent Global Stock Portfolio
107312	Thrivent Series Fund, Inc. – Thrivent Growth & Income Plus Portfolio
107261	Thrivent Series Fund, Inc. – Thrivent Income Portfolio
107266	Thrivent Series Fund, Inc. – Thrivent Large Cap Index Portfolio
107264	Thrivent Series Fund, Inc. – Thrivent Large Cap Value Portfolio
107265	Thrivent Series Fund, Inc. – Thrivent Mid Cap Stock Portfolio
107263	Thrivent Series Fund, Inc. – Thrivent Moderate Allocation Portfolio
107262	Thrivent Series Fund, Inc. – Thrivent Moderately Aggressive Allocation Portfolio
107272	Thrivent Series Fund, Inc. – Thrivent Moderately Conservative Allocation Portfolio
107915	TPSF – EQP (JENN)
101357	US IG Corporate Bond (JPY Hedged) Fund, a Series Trust of Cayman World Invest Trust – SHINCORP
101308	US IG Intermediate Corporate Bond (JPY Hedged) Fund, a Series Trust of Cayman World Invest Trust – S
101424	Vantage Casualty Insurance Company – VANTAGE
101427	Verizon Transition Account – VZTRANS

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<b>Claim</b>	<b>Creditor Name</b>
107236	VGE III Portfolio Ltd
107232	Viking Global Equities II LP
107233	Viking Global Equities LP
107234	Viking Global Opportunities Liquid Portfolio Sub-Master LP
107235	Viking Long Fund Master Ltd.